

## Day 1

## Definition

A function $f(x)$ is said to have a maxima at $x=a$ if $f(a)$ is greatest of all values in the suitably small neighbourhood of ' $a$ ', where $x=a$ is an interior point in the domain of $f(x)$. Analytically this means $f(a) \geq f(a+h) \& f(a) \geq f(a-h)$ where $h$ is sufficiently small quantity.
Similarly, a function $y=f(x)$ is said to have a minimum at $x=b$ if $f(b)$ is smallest of all values in the suitably small neighborhood of ' $b$ ', where $x=b$ is an interior point in the domain of $f(x)$. Analytically this means $f(b) \leq f(b+h) \& f(b) \leq f(b-h)$ where h is sufficiently small.



## Maxima and Minima at end point

## Definition

Let a function $f(x)$ is defined on $[\mathrm{a}, \mathrm{b}]$. Then $\mathrm{f}(\mathrm{x})$ has a local maximum at $x=a$ (left end point) if $\mathrm{f}(a)>f(a+h)$ and minimum at $x=a$ if $f(a)<f(a+h)$.




Similarly, $f(x)$ has a local maximum at $x=b$ (right end point) if $f(b)>f(b-h)$ and minimum at $x=b$ if $f(a)<f(b-h)$.

## Method of finding extrema of continuous functions

At points of extrema the derivative $\mathrm{f}^{\prime}(\mathrm{x})$ either doesnot exits or if exist it is equal to zero. The points at which $f^{\prime}(x)=0$ or doesnot exist are known as critical points.

## 1st derivative test

The following test applies to a continuous function in order to get the extrema.
(a)At a critical point $\boldsymbol{x}=\boldsymbol{x}_{0}$
(i) If $f^{\prime}(x)$ changes from positive to negative at $\mathrm{x}_{0}$ while moving from left to right,
i.e. $\left\{\begin{array}{c}f^{\prime}(x)>0 ; x<x_{0} \text { and } \\ f^{\prime}(x)<0 ; x>x_{0}\end{array}\right.$

(a) $f^{\prime}\left(x_{0}\right)=0$

(b) $f^{\prime}\left(x_{0}\right)$ does not exist

Then $f(x)$ has a local maximum value at $x=x_{0}$
(ii) If $f^{\prime}(x)$ changes from negative to positive at $x_{0}$ while moving from left to right,
i.e. $\left\{\begin{array}{c}f^{\prime}(x)<0 ; x<x_{0} \text { and } \\ f^{\prime}(x)>0 ; x>x_{0}\end{array}\right.$

(a) $f^{\prime}\left(x_{0}\right)=0$

(b) $f^{\prime}\left(x_{0}\right)=$ does not exist

Then $f(x)$ has a local maximum value at $x=x_{0}$
(iii) If sign of $f^{\prime}(x)$ does not change at $\mathrm{x}_{0}$ then $f(x)$ has neither a maximum or minimum at $x_{0}$


(a) $f^{\prime}\left(x_{0}\right)=($ inflection point $)$
(b) $f^{\prime}\left(x_{0}\right)=$ does not exist

## (b)At a left end point a

If $f(x)$ is defined on $[\mathrm{a}, \mathrm{b}]$.
If $f^{\prime}(x)<0$ for $x>a$, then $f(x)$ has a local maximum and If $f^{\prime}(x)>0$ for $x>a$, then $f(x)$ has a local minimum at $x=a$



## At a right end point $b$

If $f^{\prime}(x)<0$ for $x<b$, then $f(x)$ has a local maximum and If $f^{\prime}(x)>0$ for $x<b$, then $f(x)$ has a local maximum at $x=b$.



Remember that in a continuous function maximum and minimum values occur alternately i.e. between two successive maxima there is one minimum and between two successive minima there is one maximum.

## Illustration

Find the local maxima or local minima, if any of the function
$f(x)=\sin ^{4} x+\cos ^{4} x, 0<x<\pi / 2$
Using the first derivative test.

## Solution

We have,

$$
\begin{aligned}
& y=f(x)=\sin ^{4} x+\cos ^{4} x \\
& \frac{d y}{d x}=0
\end{aligned}
$$

$$
\begin{aligned}
& \Rightarrow \frac{d y}{d x}=4 \sin ^{3} x \cos x-4 \cos ^{3} x \sin x \\
& \Rightarrow-4 \cos x \sin x\left(\cos ^{2} x-\sin ^{2} x\right) \\
& \Rightarrow-2 \sin 2 x \cos 2 x \\
& \Rightarrow-\sin 4 x
\end{aligned}
$$

For a local maximum or a local minimum, we have

$$
\begin{aligned}
& \frac{d y}{d x}=0 \\
& \Rightarrow-\sin 4 x=0 \\
& \Rightarrow \sin 4 x=0 \\
& \Rightarrow 4 x=\pi \\
& {\left[\because 0<x<\frac{\pi}{2} \therefore 0<4 x>2 \pi\right]} \\
& \Rightarrow x=\frac{\pi}{4}
\end{aligned}
$$

Consider $x=\frac{\pi}{4}$

$$
\begin{aligned}
& x<\frac{\pi}{4} \\
& \Rightarrow 4 x<\pi \\
& \Rightarrow \sin 4 x>0 \\
& \Rightarrow-\sin 4 x<0 \\
& \Rightarrow \frac{d y}{d x}<0
\end{aligned}
$$

In the right nbd of

$$
\begin{aligned}
& x=\frac{\pi}{4} \\
& x>\frac{\pi}{4} \\
& \Rightarrow 4 x>\pi \\
& \Rightarrow \sin 4 x<0 \\
& \Rightarrow-\sin 4 x>0 \\
& \Rightarrow \frac{d y}{d x}>0
\end{aligned}
$$

Thus dy/dx changes sign from negative to positive as $x$ increases through

$$
\frac{\pi}{4} \text {. so }, x=\frac{\pi}{4} \text { is a pint of local minimum. }
$$

The local minimum values is

$$
f\left(\frac{\pi}{4}\right)=\left(\sin \frac{\pi}{4}\right)^{4}+\left(\cos \frac{\pi}{4}\right)^{4}=\frac{1}{2}
$$

## Illustration

Find the maxima and minimum value of function,

$$
f(x)=3 x^{2}+6 x+8, x \in R
$$

## Solution

We have,

$$
f(x)=3 x^{2}+6 x+8
$$

$$
\begin{aligned}
& \Rightarrow 3\left(x^{2}+2 x+1\right)+5 \\
& \Rightarrow 3(x+1)^{2}+5 \\
& \because 3(x+1)^{2} \geq 0 \text { for all } x \in R \\
& \Rightarrow 3(x+1)^{2}+5 \geq 5 \text { for all } x \in R \\
& \Rightarrow f(x) \geq 5 \text { for all } x \in R
\end{aligned}
$$

Thus, 5 is the minimum value of $f(x)$ which it attains at $x=-1$.
Since $f(x)$ can be made as large as we please, therefore the maximum value does not exist.

## Method of $2^{\text {nd }}$ derivative

It must be remembered that this method is not applicable to those critical points where $f^{\prime}(x)$ remains undefined.
First we find the root of $f^{\prime}(x)=0$. Suppose $\mathrm{x}=\mathrm{a}$ is one of the roots of $f^{\prime}(x)=0$.
Now find $f^{\prime \prime}(x)$ at $x=a$.
(i) If $f^{\prime \prime}(a)=$ negative; then $f(x)$ is maximum at $x=a$.
(ii) If $f^{\prime \prime}(a)=$ positive; then $f(a)$ is minimum at $x=a$.
(ii) If $f^{\prime \prime}(a)=$ zero;

Then we find $f^{\prime \prime}(x)$ at $x=a$.
If $f^{\prime \prime}(a) \neq o$ then $f(x)$ has neither maximum nor minimum (inflexion point).
At $x=a$.
But if $f^{\prime \prime}(a)=0$, then find $f^{i v}(a)$;
If $f^{i v}(a)=$ positive then $f(x)$ is minimum at $x=a$
If $f^{i v}(a)=$ negative then $f(x)$ is maximum at $x=a$
And so on, process is repeated till point is discussed.

## Concept of Global Maximum / Minimum

Let $y=f(x)$ be a given function with domain D .
Let $[\mathrm{a}, \mathrm{b}]$, then global maximum / minimum of $f(x)$ in $[a, b]$ is basically the greatest / least value of $f(x)$ in $[a, b]$.
Global maximum in $[a, b]$ would always occur at critical points of $f(x)$ with in $[a, b]$ or at the end points of the interval.

## Global Maximum / Minimum in $[a, b]$

In order to find the global maximum and minimum of $f(x)$ in $[a, b]$, find out all critical points of $f$ $(x)$ in $[a, b]$ (i.e., all points at which $f^{\prime}(x)=0$ ).
Let $c_{1}, c_{2}, c_{3}, \ldots \ldots \ldots, c_{n}$ be the points at which $f^{\prime}(x)=0$.
And let $f\left(c_{1}\right), f\left(c_{2}\right), \ldots \ldots . ., f\left(c_{n}\right)$ be the values of the function at these points.
Then $\mathrm{M}_{1} \rightarrow$ Global maximum or greatest value.
and $\mathrm{M}_{2} \rightarrow$ Global minimum or lest vlaue.
Where,

$$
M_{1}=\max .\left\{f(a), f\left(c_{1}\right), f\left(c_{2}\right), \ldots \ldots, f\left(c_{n}\right), f(b)\right\}
$$

And

$$
M_{2}=\min .\left\{f(a), f\left(c_{1}\right), f\left(c_{2}\right), \ldots \ldots, f\left(c_{n}\right), f(b)\right\}
$$

Then $M_{1}$ is the greatest value or global maximum in $[a, b]$
And $\mathrm{M}_{2}$ is the least value or global minimum in $[\mathrm{a}, \mathrm{b}]$

## Global Maximum / Minimum in ( $\mathbf{a}, \mathbf{b}$ )

Method for obtaining the greatest and least values of $f(x)$ in $(a, b)$ is almost same as the method Used for obtaining the greatest and lest values in $[a, b]$,
However a caution may be taken;
Let

$$
M_{1}=\max .\left\{f(a), f\left(c_{1}\right), f\left(c_{2}\right), \ldots \ldots ., f\left(c_{n}\right),\right\}
$$

And

$$
M_{2}=\min .\left\{f(a), f\left(c_{1}\right), f\left(c_{2}\right), \ldots \ldots, f\left(c_{n}\right),\right\}
$$

But if,

$$
\lim _{x \rightarrow a^{+}} f(x)>M_{1}
$$

Or

$$
\lim _{x \rightarrow b^{-}} f(x)<M_{2}
$$

$\Rightarrow f(x)$ would not posses global maximum or global minimum in $(a, b)$.
This means the limiting values at the end points are greater than $\mathrm{M}_{1}$ or less than $\mathrm{M}_{2}$, then global maximum or global minimum does not exist in $(a, b)$

